

03-23-01

DOCKET NO.: 1819/100131  
EXPRESS MAIL NO.: EL640135458US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **124 pages**) of:Inventor(s): **Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen**For : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING AND/OR  
DESIGNING SPECTRAL SENSITIVITY CURVES FOR AN IMAGING DEVICE***\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ **7 sheets** of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages).
- ☐ **Copy of signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

03/22/01



- ☐ Preliminary Amendment (\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_ pages) and \_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (3 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	42 - 20 =	22	x 9 =	\$198	OR	x 18 =	\$
INDEP CLAIMS	6 - 3 =	3	x 40 =	\$120	OR	x 80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 135 =	\$ 0	OR	x 270 =	\$
			TOTAL	\$673	OR	TOTAL	\$

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

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- ☒ A check in the amount of **\$673.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Rochester, New York 14603

Date: March 22, 2001

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**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : 1819/100131  
APPLICANTS : Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen  
TITLE : A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING  
AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES  
FOR AN IMAGING DEVICE

Certificate is attached to the **Utility Patent Application Transmittal Letter**  
(2 pages) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135458US  
DATE OF DEPOSIT: March 22, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Georgia Evans**

(Typed or printed name of person mailing  
paper or fee)

(Signature of person mailing paper or fee)

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APPLICANT(S) : Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen

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FOR AN IMAGING DEVICE

Certificate is attached to the **Utility Patent Application which includes Specification, Claims, and Abstract (124 pages) UNSIGNED Combined Declaration and Power of Attorney (3 pages)** of the above-named application.

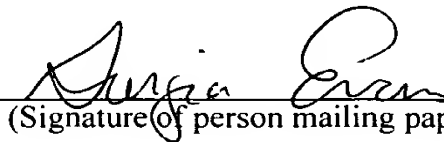
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FOR AN IMAGING DEVICE

Certificate is attached to the **Informal Drawings (7 pages)** of the  
above-named application.

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**Georgia Evans**

(Typed or printed name of person mailing  
paper or fee)

A handwritten signature in cursive script that reads "Georgia Evans".

(Signature of person mailing paper or fee)